

Westpac Securities

Phone 13 13 31
 Fax 1300 130 493

Reply Paid 85157
 Australia Square
 NSW 1214

securities@westpac.com.au
 www.westpac.com.au/onlineinvesting

Westpac Securities Limited ABN 39 087 924 221
 AFSL 233723



Share Trading Account Application Form Individual & Joint

Share Trading with Westpac Online Investing is a service provided through Westpac Securities Limited ABN 39 087 924 221 AFSL 233723 (Westpac Securities) by Australian Investment Exchange Ltd (the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400, a participant of the ASX Group and Chi-X Australia.

PLEASE START HERE

- Please note that you cannot use this application form to open a Westpac Cash Investment Account, a Westpac DIY Super Working Account or a Westpac DIY Super Savings Account. If you wish to open a Westpac Cash Investment Account, please go to www.westpac.com.au/onlineinvesting. If you wish to open a Westpac DIY Super Working Account or a Westpac DIY Super Savings Account to link to your Online Investing Account, please visit any Westpac branch.
- We will activate your new trading account with 5-7 days of receiving your signed application and supporting documentation.
- You will receive email notification from us once your Username has been established.
- Please ensure that you have made a note of your Username and Temporary Login Password so that you can access your share trading account as soon as it has been activated. You must make a reasonable attempt to disguise your Username and Temporary Login Password if you write it down – that is scramble the details in such a way that others will not be able to decode it.

- Please read the Participant's Share Trading Terms and Conditions before completing this form.
- **Identification:**
 To meet our obligations with the Anti Money Laundering/Counter-Terrorism Financing Act 2006, we must identify customers before we provide a service. Applicants and Additional Authorities to Trade who are not existing Westpac customers, will need to provide original certified copies of identification documents, which must be signed by an 'eligible person'.
- Please refer to explanatory note 2 at the end of this application form for a list of Acceptable Identification Documentation and a list of eligible persons who can certify identification documents.
- **Please note all fields are MANDATORY to complete within each required section unless otherwise specified.**

Please return the original application form, fax and email will not be accepted.

**Address: Reply Paid 85157
 Australia Square
 NSW 1214**

APPLICATION INSTRUCTIONS

Applicant Type	Mandatory Sections to complete	Optional Sections
Individual (Includes Sole Trader) Account Name (example): Peter John Brown	1, 4, 6	5, 7, 8 as applicable
Joint Account Name (example): Peter John Brown & Susan Alice Brown	1, 2, 4, 6	3, 5, 7, 8 as applicable

Documentation Required

All Applicants

- Original certified photocopy of identity documents for new clients – see explanatory note 2
- Copy(s) of your Issuer Sponsored Holding Statements (if applicable)
- Copy(s) of your CHESS Sponsored Holding Statements (if applicable)

Share Trading Account Application Form Individual & Joint

Which account type would you like to apply for?

- Individual
 Joint

1. Personal Details – Applicant 1

For joint accounts, statements and notices will only be posted to the first applicant's Postal Address.

Title

Mr Ms Mrs Miss Dr Other (specify)

First Given Name

Other Given Names

Surname

Other names commonly known by (if applicable)

Date of Birth

DD / MM / YY

Gender

Male Female

Level of trading experience

- New to share trading Some experience
 Frequent trader (>3 trades per month)

Are you a sole trader?

Yes No

(If **yes**, complete the next 2 questions)

Sole Trader Business Name

Sole Trader ABN (optional –refer to Explanatory Note 1)

- -

Address Details

Residential Address (cannot be a PO Box)

Postcode

Country

Postal Address Same as Residential Address

Postcode

Country

Contact Details

Email Address

YOU MUST PROVIDE AN EMAIL ADDRESS

Tick your preferred contact number

Mobile

Work

()

Home

()

Fax

()

Source of Funds

Please provide only values as outlined in Explanatory note 5

Source of Wealth

Please provide only values as outlined in Explanatory note 6

Employment Status

Please provide only values as outlined in Explanatory note 7

Occupation

Tax File Number or Exemption Code – optional (refer Explanatory Note 1)

- -

Information about products and services

Westpac Securities will use or disclose your personal information to contact you or send you information about other products and services offered by the Westpac Group or its preferred suppliers.

Please tick this box if you do not wish to receive marketing communications from us.

“Westpac Group” means Westpac Banking Corporation ABN 33 007 457 141 and its related bodies corporate.

Identification

Do you have an existing trading account? Yes No

If **yes** please provide your Username

Go to section 2

If **no**, please nominate login details for online access (refer Explanatory Note 3)

Nominate a Username (6 to 16 alphanumeric characters)

You **MUST** nominate a Temporary Login Password for online access

Temporary Login Password (6 to 16 alphanumeric characters)

Please make a note of the Temporary Login Password you have nominated. You will be asked to change your Password upon your first login.

Share Trading Account Application Form Individual & Joint

Do you have an existing Westpac account? Yes No

If **yes**, please select and complete one of the following forms of identification.

Note: The name of the account or identification must be in the same name as you have provided above.

Westpac BSB and Account Number

BSB

Account number

____|____|____|____|____|____|____|____|

Name of Account Holder

Westpac Customer number

If **no**, you must provide original certified copies of identification documents which must be signed by an 'eligible person' (refer Explanatory Note 2 for a full list of acceptable documents).

2. Personal Details – Applicant 2 (if applicable)

For joint accounts, statements and notices will only be posted to the first applicant's Postal Address.

Title

Mr Ms Mrs Miss Dr Other (specify) _____

First Given Name

Other Given Names

Surname

Other names commonly known by (if applicable)

Date of Birth

____|____|____ / ____|____|____ / ____|____|____

Gender

Male Female

Level of trading experience

New to share trading Some experience

Frequent trader (>3 trades per month)

Address Details

Residential Address (cannot be a PO Box)

Postcode

Country

Postal Address Same as Residential Address

Postcode

Country

Contact Details

Email Address

_____ YOU MUST PROVIDE AN EMAIL ADDRESS

Tick your preferred contact number

Mobile

Work

() _____

Home

() _____

Fax

() _____

Source of Funds

Please provide only values as outlined in Explanatory note 5

Source of Wealth

Please provide only values as outlined in Explanatory note 6

Employment Status

Please provide only values as outlined in Explanatory note 7

Occupation

Tax File Number or Exemption Code – optional (refer Explanatory Note 1)

____|____|____ - ____|____|____ - ____|____|____

Information about products and services

Westpac Securities will use or disclose your personal information to contact you or send you information about other products and services offered by the Westpac Group or its preferred suppliers.

Please tick this box if you do not wish to receive marketing communications from us.

“Westpac Group” means Westpac Banking Corporation ABN 33 007 457 141 and its related bodies corporate.

Identification

Do you have an existing trading account? Yes No

If **yes** please provide your Username

_____ Go to section 3

If **no**, please nominate login details for online access (refer Explanatory Note 3)

Share Trading Account Application Form Individual & Joint

Nominate a Username (6 to 16 alphanumeric characters)

You **MUST** nominate a Temporary Login Password for online access

Temporary Login Password (6 to 16 alphanumeric characters)

Please make a note of the Temporary Login Password you have nominated. You will be asked to change your Password upon your first login.

Do you have an existing Westpac account? Yes No

If **yes**, please select and complete one of the following forms of identification.

Note: The name of the account or identification must be in the same name as you have provided above.

Westpac BSB and Account Number

BSB

Account number

Name of Account Holder

Westpac Customer number

If **no**, you must provide original certified copies of identification documents which must be signed by an 'eligible person' (refer Explanatory Note 2 for a full list of acceptable documents).

3. Personal Details – Applicant 3 (if applicable)

For joint accounts, statements and notices will only be posted to the first applicant's Postal Address.

Title

 Mr Ms Mrs Miss Dr Other (specify)

First Given Name

Other Given Names

Surname

Other names commonly known by (if applicable)

Date of Birth

 DD / MM / YY

Gender

 Male Female

Level of trading experience

New to share trading Some experience

Frequent trader (>3 trades per month)

Address Details

Residential Address (cannot be a PO Box)

Postcode

Country

Postal Address Same as Residential Address

Postcode

Country

Contact Details

Email Address

YOU MUST PROVIDE AN EMAIL ADDRESS

Tick your preferred contact number

Mobile

Work

 ()

Home

 ()

Fax

 ()

Share Trading Account Application Form Individual & Joint

Source of Funds

Please provide only values as outlined in Explanatory note 5

Source of Wealth

Please provide only values as outlined in Explanatory note 6

Employment Status

Please provide only values as outlined in Explanatory note 7

Occupation

Tax File Number or Exemption Code – optional (refer Explanatory Note 1)

Information about products and services

Westpac Securities will use or disclose your personal information to contact you or send you information about other products and services offered by the Westpac Group or its preferred suppliers.

Please tick this box if you do not wish to receive marketing communications from us.

“Westpac Group” means Westpac Banking Corporation ABN 33 007 457 141 and its related bodies corporate.

Identification

Do you have an existing trading account? Yes No

If **yes** please provide your Username

 Go to section 4

If **no**, please nominate login details for online access (refer Explanatory Note 3)

Nominate a Username (6 to 16 alphanumeric characters)

You **MUST** nominate a Temporary Login Password for online access

Temporary Login Password (6 to 16 alphanumeric characters)

Please make a note of the Temporary Login Password you have nominated. You will be asked to change your Password upon your first login.

Do you have an existing Westpac account? Yes No

If **yes**, please select and complete one of the following forms of identification.

Note: The name of the account or identification must be in the same name as you have provided above.

Westpac BSB and Account Number

BSB

Account number

Name of Account Holder

Westpac Customer number

If **no**, you must provide original certified copies of identification documents which must be signed by an ‘eligible person’ (refer Explanatory Note 2 for a full list of acceptable documents).

Share Trading Account Application Form Individual & Joint

4. Settlement Options

Nominated bank account

To settle your share trading transactions and/or any fees associated with subscriptions on your trading account, please complete the Direct Debit Request details below. The bank account must be in the same entity name(s) provided in this application. **Please also provide copy of bank account statement.**

If this bank account is a joint account where one or more parties are not applicants on this share trading account, you **MUST** provide identification for them and have them sign the Declaration & Signature/s section of this form.

Account Name

BSB

--	--	--	--	--	--

Account Number

--	--	--	--	--	--	--	--	--	--

Please select the type of settlement method you wish to use to BUY shares (you can only select one payment option)

Integrated Accounts

BT Margin Lending

BT Margin Lending Account Number

BT Margin Lending Account Name

Cash Investment Account

Standard Accounts

Direct Debit from your nominated bank account

BPAY®

Other Margin Lending

Margin Lender (M/L)

M/L PID* (if known)

--	--	--	--	--

M/L Account Number

M/L Account Name

M/L Contact Person (if known)

M/L Contact Number (if known)

5. CHESS Sponsorship Request

Your trading account will be CHESS sponsored by the Participant unless you have provided the details of a Margin Lending account in Section 4 of this application form.

Important: The Name and registration detail that appears on your current registered holdings **MUST** be the same name that appears on your Trading Account. If this requirement is not met any request to transfer holdings may be delayed or rejected.

ISSUER to BROKER SPONSORSHIP
Transfer my/our Issuer Sponsored Holdings to the Participant.
(Please attach copies of all relevant holdings Statements)

Shareholder Reference Number (SRN)

ASX Code or Security Name

Qty

--	--

Shareholder Reference Number (SRN)

ASX Code or Security Name

Qty

--	--

Shareholder Reference Number (SRN)

ASX Code or Security Name

Qty

--	--

Shareholder Reference Number (SRN)

ASX Code or Security Name

Qty

--	--

I/We authorise the Participant to convert the above listed Holding(s) into my/our Share Trading Account.

BROKER to BROKER TRANSFER
You may select to either transfer all or part of your existing broker holdings to the Participant. (Please attach copies of your latest CHESS holding statement)

Existing Broker Name:

PID:*

--	--	--	--	--

HIN:*

--	--	--	--	--	--	--	--	--	--

A/C Number:

--	--	--	--	--	--	--	--	--	--

Share Trading Account Application Form Individual & Joint

Account Name:

New Broker Name:

PID:

*See explanatory note 4.

a) Transfer ALL Broker Sponsored Holdings to the Participant. Yes No

Note: We will transfer your Holder Identification Number (HIN)

OR

b) Transfer ALL Broker Sponsored Holdings but NOT my/our HIN to the Participant. Yes No

OR

c) Transfer the following existing Broker Sponsored Holdings to the Participant. Yes No

Please list stock that you would like to transfer from your Existing Broker. Attach a separate sheet if more space is required.

ASX Code or Security Name	Qty

NB: It may be necessary to re-lodge your standing instructions with the share registries after some transfers. These include tax file numbers, banking details and Dividend Reinvestment Plan or other dividend instructions.

I/We authorise the Participant to transfer the above listed holdings into my/our Share Trading Account.

6. Declaration & Signature(s)

All Account Holders MUST sign this declaration – photocopied and faxed signatures are not accepted

I/We the undersigned (being the applicant(s)):

1. Declare that the information I/we provided to you in my/our application is complete and correct and acknowledge that it will form part of the contract for each product I/we are applying for;
2. Acknowledge that the name of individual persons given in this application are true and correct and that the law prohibits the use of false names, as well as the giving or use of false documents in connection with an identification procedure;
3. Consent to the collection, use, disclosure and storage of my/our personal information in accordance with the Customer Information and Privacy section of the Westpac Online Investing “House Rules” document and the Westpac privacy policy (available at westpac.com.au or by calling 132 032), each as amended from time to time.

Declaration to Terms and Conditions

1. I/We hereby acknowledge that I/we have read and understood the Financial Services Guides provided to me/us by Westpac Securities Limited and the Participant.
2. I/We have read and agree to be bound by the Participant’s Terms and Conditions of Share Trading Account.
3. I/We have read and agree to be bound by the Participant’s Terms and Conditions of Online Access.
4. I/We agree to be sponsored by the Participant under the terms of the Participant Sponsorship Agreement. I/we have read and agree to be bound by the Participant’s Terms and Conditions of Participant Sponsorship Agreement.
5. I/We authorise and request Australian Investment Exchange Ltd (APCA User ID no. 123840 – Debit and 123840 – Credit) to arrange for funds to be debited from/credited to my/our accounts as specified in this Application through the Bulk Electronic Clearing System (BECS). I/We acknowledge that this authority is governed by and will remain in force in accordance with the Direct Debit/Credit Request Service Agreement sections of the Terms and Conditions governing each product I/we are applying for.
6. I/We agree for the Participant to remit funds to my/our choice of Nominated Bank Account upon my/our request.
7. I/We agree that my/our nominated representative(s) is/are bound by these Terms and Conditions.
8. I/We agree for the Participant to send my/our Confirmations, Trading Account information and Statements to we/us electronically.
9. I/We declare that I have the legal capacity to make these declarations and accept the conditions. I agree and enter into the agreements referred to in points 1, 2, 3, 4, 5, 6, 7 and 8 above.

Signature of Applicant 1

X	Date: DD / MM / YY
---	-----------------------

Signature of Applicant 2

X	Date: DD / MM / YY
---	-----------------------

Signature of Applicant 3

X	Date: DD / MM / YY
---	-----------------------

Share Trading Account Application Form Individual & Joint

INTERNAL USE ONLY

Trading A/C Number:	<input type="text"/>		
HIN:	<input type="text"/>		
Date:	<input type="text"/>	/	<input type="text"/>
	<input type="text"/>	/	<input type="text"/>
Initials:	<input type="text"/>		

Please post your completed Application Form to us at:

Westpac Securities
Reply Paid 85157
Australia Square
NSW 1214

7. Additional Authority to Trade

Complete this section where you wish to authorise someone else to trade and/or conduct transactions on your behalf. The Additional Authority to Trade must complete this section.

Title

Mr Ms Mrs Miss Dr Other (specify)

First Given Name

Other Given Names

Surname

Other names commonly known by (if applicable)

Date of Birth

DD / MM / YY

Gender

Male Female

Level of trading experience

- New to share trading Some experience
 Frequent trader (>3 trades per month)

Source of Funds

Please provide only values as outlined in Explanatory note 5

Source of Wealth

Please provide only values as outlined in Explanatory note 6

Employment Status

Please provide only values as outlined in Explanatory note 7

Occupation

Address Details

Residential Address (cannot be a PO Box)

Postcode

Country

Postal Address Same as Residential Address

Postcode

Country

Share Trading Account Application Form Individual & Joint

Contact Details

Email Address

YOU MUST PROVIDE AN EMAIL ADDRESS

Tick your preferred contact number

Mobile

Work ()

Home ()

Fax ()

Information about products and services

Westpac Securities will use or disclose your personal information to contact you or send you information about other products and services offered by the Westpac Group or its preferred suppliers.

Please tick this box if you do not wish to receive marketing communications from us.

“Westpac Group” means Westpac Banking Corporation ABN 33 007 457 141 and its related bodies corporate.

Identification

Do you have an existing trading account? Yes No

If **yes** please provide your Username

Go to section 8

If **no**, please nominate login details for online access (refer Explanatory Note 3)

Nominate a Username (6 to 16 alphanumeric characters)

You **MUST** nominate a Temporary Login Password for online access

Temporary Login Password (6 to 16 alphanumeric characters)

Please make a note of the Temporary Login Password you have nominated. You will be asked to change your Password upon your first login.

Do you have an existing Westpac account? Yes No

If **yes**, please select and complete one of the following forms of identification.

Note: The name of the account or identification must be in the same name as you have provided above.

Westpac BSB and Account Number

BSB

Account number

Name of Account Holder

Westpac Customer number

If **no**, you must provide original certified copies of identification documents which must be signed by an ‘eligible person’ (refer Explanatory Note 2 for a full list of acceptable documents).

8. Additional Authority to Trade Declaration & Signatures

Applicant(s) declaration and signature(s)

All Applicants **MUST** sign this declaration – photocopied and faxed signatures are not accepted.

I/We authorise the Additional Authority to Trade set out below to act on my/our behalf in connection with the account including the following:

- To have access to, receive, and enquire about information pertaining to an account;
- To acquire, buy, deal with, dispose of, or sell any financial products;
- To provide authorisation to make and receive payment for any financial product transactions and attendant expenses by any means whatsoever and to give goods receipts and discharges for the proceeds and sales on financial products and other monies;
- To execute all contracts and other documents necessary or proper for the custody, dealing, and transfer of financial products and related matters;
- To receive, hold, arrange custody of and evidence of title to financial products;
- To exercise all rights, privileges, duties and obligations now or in the future with regard to transacting in financial products that pertain to me as the holder of financial products;

All Applicants please sign below

I/We:

- Acknowledge that I/we are responsible for and bound by the instructions that the Authority to Trade gives the Participant, and I/we indemnify the Participant in relation to the actions of my/our authorised agent;
- Acknowledge that it is my/our obligation to be aware of any activity undertaken on my/our behalf by the Authority to Trade;
- Agree that I/we will not provide my/our personal login details to the Authority to Trade, instead relying on the Participant to issue relevant account access to the Authority to Trade;
- Authorise and request the Participant to accept and act upon any instructions issued by the Authority to Trade pursuant to this authority and undertake to ratify whatever the signatory lawfully does or causes to be done pursuant to this authority;
- Understand the appointment of an Authority to Trade remains effective until I/we revoke it by providing a notice in writing to the Participant.

Signature of Applicant 1

X

Date:

DD / MM / YY

Signature of Applicant 2

X

Date:

DD / MM / YY

Signature of Applicant 3

X

Date:

DD / MM / YY

Share Trading Account Application Form Individual & Joint

Additional Authority to Trade declaration and signature

I/We understand and acknowledge that:

- (a) The name of individual persons given to the Participant are true and correct and that the law prohibits the use of false names, as well as the giving or use of a false document in connection with an identification procedure;
- (b) Declare that my particulars (including identification details) as shown on this form are complete and correct;
- (c) Acknowledge that the Participant collects my particulars in order to allow me to exercise this authority; and
- (d) Acknowledge that I have received and agree to be bound by the Terms and Conditions governing each product nominated in this form and consent to the collection, use, disclosure and storage of my/our personal information in accordance with the Customer Information and Privacy section of the Westpac Online Investing "House Rules" document and the Westpac privacy policy (available at westpac.com.au or by calling 132 032), each as amended from time to time.

Signature of Additional Authority to Trade

 Date: DD / MM / YY

9. Explanatory Notes

1. AUSTRALIAN BUSINESS NUMBER (ABN), TAX FILE NUMBER (TFN) OR EXEMPTION CODE

You are not required by law to provide your TFN or ABN, however if you do not provide one, tax may be deducted from your dividends and distributions at the highest marginal tax rate (plus Medicare Levy). If you quote your TFN or ABN, you authorise the Participant to disclose it to its related bodies corporate, ASX Settlement Pty Limited, trustees, sponsors of your shareholdings and their agents and other issuers of securities for purposes relating to the securities in the trading account.

2. IDENTIFICATION REQUIREMENTS

To meet our obligations with the Anti Money Laundering/Counter-Terrorism Financing Act 2006, we must identify customers before we provide a service. Applicants (including individuals/sole traders), and Authorities to Trade who are not existing Westpac customers, will need to provide original certified copies of identification documents which must be certified by an eligible person.

Acceptable identification documentation

ONE Primary Photographic Identification Document (Table A), or if unable to ONE Primary Non-Photographic Identification Document (Table B) and ONE Secondary Identification Document (Table C)

Please note the following:

- All documents must be current unless specified otherwise. If any document is written in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

Please attach the original certified copy(ies) of the document(s) to your application. DO NOT send original documents, or copies of certified copies.

Table A – Primary Photographic Identification Documents

- Australian passport (can either be current or expired within the last 2 years but must not be cancelled, defaced or mutilated)
- Foreign passport issued by a foreign government, the United Nations or an agency of the United Nations (must not be cancelled, defaced or mutilated)
- Foreign travel document issued by a foreign government, the United Nations or an agency of the United Nations
- Australian licence/permit (can either be a driver's licence, learner's permit)
- Foreign driver's licence which contains a photograph
- Proof of age card issued by a State or Territory (or equivalent)
- National identity card issued by a foreign government, the United Nations or an agency of the United Nations

Table B – Primary Non-Photographic Identification Documents

- Full Australian birth certificate (or extract) issued by State/Territory Registry of Births, Deaths and Marriages
- Full Foreign birth certificate issued by a foreign government, the United Nations or an agency of the United Nations
- Australian citizenship certificate
- Citizenship certificate issued by a foreign government
- Centrelink pension card (Australian)

Share Trading Account Application Form Individual & Joint

Table C – Secondary Identification Documents

- A financial benefits notice issued by the Commonwealth or a State/Territory within the last 12 months and includes the customer's name and residential address (e.g. a notice from Centrelink)
- Australian Taxation Office (ATO) notice issued within the last 12 months and includes the customer's name and residential address
- Utilities notice issued by a local government or utilities provider within the last 3 months and includes the customer's name and residential address
- Foreign driver's licence which does not contain a photograph
- Department of Veterans' Affairs pension concession card (Australian)
- A current tenancy/lease agreement (must not be cancelled or expired)
- Medicare card
- Australian Marriage certificate issued by State/Territory Registry of Births, Deaths and Marriages

List of eligible persons who can certify identification documents

Each original certified photo copy(ies) must include the statement (or similar wording):

"I certify that this is a true copy of the original document."

The certifier must include their full name, original signature (photocopied signatures are not accepted), date of certification and qualification or occupation (outlined below) which makes them eligible.

List of eligible persons who are allowed to certify identification documents:

- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
- A judge of a court
- A magistrate
- A chief executive officer of a Commonwealth court
- A Justice of the Peace
- A police officer
- A public notary (for the purposes of the Statutory Declaration Regulations 1993)
- An agent of Australia Post who is in charge of an office supplying postal services to the public
- A permanent employee of Australia Post with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- An officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993)
- A finance company officer with 2 or more continuous years of service with one or more finance companies (for the purpose of the Statutory Declaration Regulations 1993)
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees.
- A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership

- A registrar or deputy registrar of a court
- An international bank employee authorised by their institution to open accounts, where the international bank is acceptable to the Bank

3. USERNAME AND PASSWORD

If your nominated Username is already in use, we will nominate a similar alternative on your behalf.

You will receive confirmation of your Username with your Welcome Letter or Email.

Your nominated temporary password:

- Must be between 6 and 16 characters long
- Is not case sensitive
- Must not contain spaces
- Can contain most characters except < = > ^ { } `
- Must not contain your Username or word "password"

4. HIN and PID

HIN (Holder Identification Number) and PID (Participant Identification) can be found on your CHESSE statements. Alternatively, contact your existing Broker.

5. Source of Funds

The origin and the means of transfer of the funds to service this new product (e.g. salary/wages, business income)

- a. Salary/Wages
- b. Commission
- c. Bonus
- d. Business income/earnings
- e. Business profits
- f. Rental income
- g. Superannuation/pension
- h. Loan
- i. Insurance payment
- j. Compensation payment
- k. Government benefits
- l. Sale of assets
- m. Liquidation of assets
- n. Redundancy
- o. Inheritance
- p. Gift/Donation
- q. Windfall
- r. Tax refund
- s. Additional Sources (Provide Sources)

6. Source of Wealth

Source of Wealth refers to the origin of your financial standing or total net worth (i.e. how you have generated your wealth).

- a. Employment income/earnings
- b. Redundancy
- c. Business income/earnings
- d. Business profits
- e. Investment income/earnings

Share Trading Account Application Form Individual & Joint

- f. Rental income
- g. Superannuation/pension
- h. Insurance payment
- i. Compensation payment
- j. Government benefits
- k. Owns real estate/property
- l. Sale of assets
- m. Liquidation of assets
- n. Inheritance
- o. Gift/Donation
- p. Windfall
- q. Choose not to disclose
- r. Additional Sources (Provide Sources)

7. Employment Status

- a. Retired
- b. Casual
- c. Full Time
- d. Independent Contractor
- e. Other (Home Duties/Work Compensation)
- f. Part Time
- g. Dependent Contractor
- h. Self Employed
- i. Social Security Recipient
- j. Student
- k. Temporary
- l. Unemployed