



Share Transfer Form

For accounts in exactly the same name

i Use this form to transfer existing holdings from another broker or share registry (issuer) to a Westpac Share Trading account in **exactly the same name**. See definitions below for more information.

- **Issuer sponsored shares** are held directly with the issuing share registry and have an associated Shareholder Reference Number (SRN). SRNs are 11 digits long, usually begin with 'I' and are unique for each holding. **To transfer Issuer sponsored shares, complete sections 1, 2 and 4.**
- **Broker sponsored shares** are held in a CHESS sponsored account with a broker and have an associated Holder Identification Number (HIN). HINs are 10 digits long and begin with an 'X'. **To transfer Broker sponsored shares, complete sections 1, 3 and 4.**

This form uses JavaScript for optimal performance. Please ensure you have this enabled if completing electronically.
Refer to the end of this document for submission instructions.

1. Account Details

Trading account name

Trading account number

SMSF/Trust account designation – *If applicable*

i **Account Designation** is the trust name as per the trust deed (up to 23 characters including spaces). Must not include TRUST, TRUSTEE, TRSTEE, ACF, ATF, TEST, AS CUSTODIAN FOR or any of the following special characters ,.^[]'.

2. Issuer Sponsored Share Transfer Request

i **Complete this section to transfer Issuer sponsored shares are held directly with the issuing share registry.**

For your transfer to be successful the registration details (name, address and designation) with the Issuer must exactly match the CHESS registration details on your trading account. Compare your trading accounts details against your Issuer Sponsored Holding Statement/s. If the registration details differ you will need to:

- Complete the 'Share Transfer Form - For accounts in a different name or to another party' instead; or
- Contact the Share Registry to change your registration details before we can process this transfer. When the Share Registry has notified you of the correction you will need to forward the confirmation and a copy of your previous Issuer Sponsored Holding Statement. If this not done, transfers may be delayed or rejected.

Shares to be transferred

ASX Code – *e.g. IAG*

Security name – *e.g. IAG Limited*

Shareholder Reference Number (SRN)

Quantity – *Number of shares*

ASX Code – <i>e.g. IAG</i>	Security name – <i>e.g. IAG Limited</i>	Shareholder Reference Number (SRN)	Quantity – <i>Number of shares</i>

To transfer more than 10 different holdings, photocopy this page, complete and attach to this form.

i It may be necessary to re-lodge your standing instructions with the Share Registry after some transfers. These include tax file numbers, banking details or dividend instructions.



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3. Broker Sponsored Share Transfer Request

i Complete this section to transfer Broker sponsored shares held in a CHESS sponsored account with another broker.

Please also ensure that you have no outstanding orders or pending trade settlements for the investments you wish to transfer.

Existing Broker Details

Broker name

Participant Identification Number (PID)

Holder Identification Number (HIN)

Account name

New Broker Details

Broker name

Participant Identification Number (PID)

Holder Identification Number (HIN)

Transfer Instructions

Option 1 - Transfer all Broker sponsored holdings to the Participant – We will transfer your HIN

Option 2 - Transfer only the following existing Broker sponsored holdings to the Participant

ASX Code – e.g. IAG	Security name – e.g. IAG Limited	Quantity – Number of shares

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i It may be necessary to re-lodge your standing instructions with the share registries after some transfers. These include tax file numbers, banking details and DRP or dividend instructions.



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4. Declaration & Signature/s

I/We:

- Authorise the Participant to transfer the holding/s specified above into my trading account as CHESSE Participant sponsored holding/s in accordance with the Terms and Conditions of Participant Sponsorship Agreement.
- Agree to be sponsored by the Participant under the terms of the Participant Sponsorship Agreement. I/We have read and agree to accept and abide by the terms of the Agreement, and have been supplied with, read and understood the written explanation of the implications of those terms.
- In the event of any mismatch of registration details I authorise the Participant to make changes to my Holder Identification Number (HIN) registration details in accordance with the information on my Trading Account.

Account Holder/Director/Secretary/ Trustee 1

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

Account Holder/Director/Secretary/ Trustee 2 – If applicable

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

Account Holder/Director/Secretary/ Trustee 3 – If applicable

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*



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Tips for a Successful Transfer

Sample of an Issuer Sponsored Holding Statement

All Registry communications to:
Link Market Services Limited
Locked Bag A14
Sydney South NSW 1235 Australia
Telephone: 1800 022 440
Facsimile: (02) 9527 0303
ASX Code: WBC
Email: registrars@linkmarketservices.com.au
Website: www.linkmarketservices.com.au

MR JOHN SMITH &
MRS JOAN SMITH
1 SOMEWHERE STREET
SYDNEY NSW 2000

**SECURITYHOLDER
REFERENCE NUMBER (SRN):** 100000000000

PAGE NUMBER: 1

STATEMENT DATE: 30/09/2008

TFNABN RECEIVED AND RECORDED

Issuer Sponsored Holdings are maintained by the Share Registry on behalf of the Issuer of your shares.

To convert your Issuer Holding we need to know the ASX Code and the number of units you would like us to convert.

Securityholder/Shareholder Reference Number (SRN) is a unique number that identifies you as an Issuer Sponsored holder with a listed company. An SRN usually starts with the letter 'I' e.g. I00012345678.

If you hold shares in more than one company and choose to be Issuer Sponsored, you will have a different SRN for each shareholding.

Sample of a CHESSE Holding Statement

CHESSE HOLDING STATEMENT

For statement enquiries contact your CHESSE Sponsor:
AUSTRALIAN INVESTMENT EXCHANGE LIMITED
PO Box 7777
Cloisters Square
Perth WA 6850
☎ 08 9282 8400

144024 01442
MR JOHN SMITH &
MRS JOAN SMITH
1 SOMEWHERE STREET
SYDNEY NSW 2000

Holder ID Number (HIN): 0000000000

CHESSE Sponsor's ID (PID): 00000

Statement Period: Jul/v 2008

Broker Sponsored Holdings If your shares are Broker Sponsored, you will have a Holder Identification Number (HIN), which starts with an 'X'. You can be CHESSE sponsored by more than one broker or institution, and you can even maintain holdings in the same security under different sponsorships.

Holder Identification Number (HIN) is a unique number that you are issued when you are CHESSE/ Broker Sponsored Holder. Your HIN can register multiple securities under the one HIN. A HIN starts with the letter X and is followed by ten numbers e.g. 0002468123.

PID is an acronym for Participant Identification Number. This is the Broker's identification number. Our PID is 6381.

Share Trading is a service provided by Australian Investment Exchange Ltd (AUSIEX, the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400. AUSIEX is a market participant of ASX & Chi-X Australia, a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited.

How to submit your documents

Once completed and signed, please scan and email the form to

✉ securities@westpac.com.au

📍 **Westpac Securities**
Reply Paid 85157
Australia Square NSW 1215

📞 13 13 31
🌐 onlineinvesting.westpac.com.au