

Trustee Certification Form



Westpac Securities

Phone 1300 757 319

Reply Paid 85157
Australia Square
NSW 1214

SAMPLE FORM for INDIVIDUAL TRUSTEE

applications@onlineinvesting.westpac.com.au
westpac.com.au/onlineinvesting
Westpac Securities Limited ABN 39 087 924 221 AFSL 233723

Share Trading with Westpac Online Investing is a service provided through Westpac Securities Limited ABN 39 087 924 221 AFSL 233723 (Westpac Securities) by Australian Investment Exchange Ltd (the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400, a participant of the ASX Group and Chi-X Australia.

Important: When completing this form, please answer all questions and print the names of all Trustees clearly in the section indicated on the first page. After answering all questions in their entirety, please have **ALL TRUSTEES** sign in the appropriate area on the last page of the document. In order for this Trustee Certification to be a valid substitution for your Trust Agreement, this form **MUST** be completed in its entirety and must be signed by **ALL TRUSTEES**. **Please be advised that we will not be able to open your account without the information requested in this form.**

1. Account Information

Australian Investment Exchange Limited Account Number

2. Trust Information

The title of the Trust to which this certification applies

Effective date of trust Latest amendment or restatement date

The Grantor(s), Settlor(s), or Testator(s) who established the Trust is/are

Trust type:
 Super (SMSF) Family

Governing State Law

U.S. Tax ID/Social Security Number

Trust ABN

There are no other trustees of the Trust other than the undersigned.

Please tick **ONE** of the following:

The Trust Agreement explicitly authorises each of the following Trustees to act individually without the approval of the other Trustees. **Australian Investment Exchange Limited** has the authority to accept orders and other instructions relative to the Trust account from any one of these Trustees, acting alone, and they may execute any documents on behalf of the Trust which **Australian Investment Exchange Limited** may require.

Note: although the Trust may allow a Trustee to act individually, under certain circumstances, in their discretion **Australian Investment Exchange Limited** or Pershing may require that the written approval of all co-Trustees be obtained.

The Trust Agreement **DOES NOT** authorise the Trustees to act individually on behalf of the Trust account.

Trustee 1 name

Trustee 1 Residential Address
(must be an Australian address not a PO Box)

 State Postcode
 Country

Trustee 2 name

Trustee 2 Residential Address
(must be an Australian address not a PO Box)

 State Postcode
 Country

Trustee 3 name

Trustee 3 Residential Address
(must be an Australian address not a PO Box)

 State Postcode
 Country

Successor Trustee(s) name(s)

Do not abbreviate the Title of the Trust. Must match Part I of W-8BEN-E form.

Dates to match Trust Deed provided

If applicable date of last change, if no change put N/A.

For SMSF's the members can be regarded as the Settlor

For Family Trusts the Settlor or Founder should always be shown on the Trust Deed and could be a lawyer, accountant or person outside of the trust.

Where the Deed was drafted

Only applicable to U.S. citizens or greencard holders

Include Trust A.B.N. Ensure this matches with W8BEN section 9b or leave blank

It is compulsory to tick one of the two boxes below

If unknown leave blank

3. Trustee(s) Indemnification and Signatures

Please read and sign below.

The undersigned Trustees jointly and severally indemnify **Australian Investment Exchange Limited** and Pershing, and each of their affiliates, officers, directors, employees, agents, successors or assigns harmless from any liability (including attorney's fees) from any claims, judgments, expenses, liabilities or costs of defense or settlement arising out of or related to breach of any representation or warranty made herein, or from effecting any transfers or transactions pursuant to instructions given by any of the Trustees or Successor Trustees listed above, or any actual improper or unsuitable action resulting from instructions given to **Australian Investment Exchange Limited** or Pershing by any of the Trustees. This indemnification is made by us both in our capacities as Trustees and in our individual capacities. We certify that the Trust is currently in existence, had not been revoked, modified or amended in any manner that would cause the certifications herein to be incorrect and, we agree to inform **Australian Investment Exchange Limited** immediately in writing of any amendment to the Trust, any change in the composition of the Trustees, or any other event which could alter the certifications made above. We acknowledge **Australian Investment Exchange Limited's** or Pershing's right to examine the Trust Agreement and hereby agree to provide **Australian Investment Exchange Limited** or Pershing with a copy of the Trust Agreement if so requested. (Where applicable, plural references in this certification shall be deemed singular.)

Trustee name

JOHN COLIN CITIZEN

Signature

X *J. C. Citizen*

Date

DD / MM / YYYY

Trustee name 2

JANE CAROL CITIZEN

Signature

X *Jane Citizen*

Date

DD / MM / YYYY

Trustee name 3

Signature

X

Date

DD / MM / YYYY

Trustee name 4

Signature

X

Date

DD / MM / YYYY

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SAMPLE FORM for CORPORATE TRUSTEE

applications@onlineinvesting.westpac.com.au
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Westpac Securities Limited ABN 39 087 924 221 AFSL 233723

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Important: When completing this form, please answer all questions and print the names of all Trustees clearly in the section indicated on the first page. After answering all questions in their entirety, please have **ALL TRUSTEES** sign in the appropriate area on the last page of the document. In order for this Trustee Certification to be a valid substitution for your Trust Agreement, this form **MUST** be completed in its entirety and must be signed by **ALL TRUSTEES**. **Please be advised that we will not be able to open your account without the information requested in this form.**

1. Account Information

Australian Investment Exchange Limited Account Number

2. Trust Information

The title of the Trust to which this certification applies

CITIZEN J & J SUPERANNUATION FUND

Effective date of trust

01 / 07 / 2008

Latest amendment or restatement date

MM / DD / YYYY

The Grantor(s), Settlor(s), or Testator(s) who established the Trust is/are

JOHN COLIN CITIZEN

Trust type:

Super (SMSF) Family

Governing State Law

VICTORIA

U.S. Tax ID/Social Security Number

Trust ABN

There are no other trustees of the Trust other than the undersigned.

Please tick **ONE** of the following:

- The Trust Agreement explicitly authorises each of the following Trustees to act individually without the approval of the other Trustees. **Australian Investment Exchange Limited** has the authority to accept orders and other instructions relative to the Trust account from any one of these Trustees, acting alone, and they may execute any documents on behalf of the Trust which **Australian Investment Exchange Limited** may require.

Note: although the Trust may allow a Trustee to act individually, under certain circumstances, in their discretion **Australian Investment Exchange Limited** or Pershing may require that the written approval of all co-Trustees be obtained.

- The Trust Agreement **DOES NOT** authorise the Trustees to act individually on behalf of the Trust account.

Trustee 1 name

CITIZEN PTY LTD

Enter name of Corporate Trustee

Trustee 1 Residential Address

(must be an Australian address not a PO Box)

1 SAMPLE STREET KEW

State VIC Postcode 3101

Country AUSTRALIA

Trustee 2 name

Trustee 2 Residential Address

(must be an Australian address not a PO Box)

State Postcode

Country

Trustee 3 name

Trustee 3 Residential Address

(must be an Australian address not a PO Box)

State Postcode

Successor Trustee(s) name

Successor Trustee(s) Residential Address

(must be an Australian address not a PO Box)

State Postcode

Country

Successor Trustee(s) name(s)

If unknown leave blank

Do not abbreviate the Title of the Trust. Must match Part I of W-8BEN-E form.

Dates to match Trust Deed provided

If applicable date of last change, if no change put N/A.

For SMSF's the members can be regarded as the Settlor

For Family Trusts the Settlor or Founder should always be shown on the Trust Deed and could be a lawyer, accountant or person outside of the trust.

Where the Deed was drafted

Only applicable to U.S. citizens or greencard holders

Include Trust A.B.N. Ensure this matches with W8BEN section 9b or leave blank

It is compulsory to tick one of the two boxes below

3. Trustee(s) Indemnification and Signatures

Please read and sign below.

The undersigned Trustees jointly and severally indemnify **Australian Investment Exchange Limited** and Pershing, and each of their affiliates, officers, directors, employees, agents, successors or assigns harmless from any liability (including attorney's fees) from any claims, judgments, expenses, liabilities or costs of defense or settlement arising out of or related to breach of any representation or warranty made herein, or from effecting any transfers or transactions pursuant to instructions given by any of the Trustees or Successor Trustees listed above, or any actual improper or unsuitable action resulting from instructions given to **Australian Investment Exchange Limited** or Pershing by any of the Trustees. This indemnification is made by us both in our capacities as Trustees and in our individual capacities. We certify that the Trust is currently in existence, had not been revoked, modified or amended in any manner that would cause the certifications herein to be incorrect and, we agree to inform **Australian Investment Exchange Limited** immediately in writing of any amendment to the Trust, any change in the composition of the Trustees, or any other event which could alter the certifications made above. We acknowledge **Australian Investment Exchange Limited's** or Pershing's right to examine the Trust Agreement and hereby agree to provide **Australian Investment Exchange Limited** or Pershing with a copy of the Trust Agreement if so requested. (Where applicable, plural references in this certification shall be deemed singular.)

Trustee name

JOHN COLIN CITIZEN (as Director for Citizen Pty Ltd)

Signature

X *J. C. Citizen*

Date

DD / MM / YYYY

Trustee name 2

JANE CAROL CITIZEN (as Director for Citizen Pty Ltd)

Signature

X *Jane Citizen*

Date

DD / MM / YYYY

Trustee name 3

Signature

X

Date

DD / MM / YYYY

Trustee name 4

Signature

X

Date

DD / MM / YYYY

Trustee Certification Form



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1. Account Information

Australian Investment Exchange Limited Account Number

N	C	U							
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2. Trust Information

The title of the Trust to which this certification applies is

Effective date of trust

Latest amendment or restatement date

The Grantor(s), Settlor(s), or Testator(s) who established the Trust is/are

Trust type:

Super (SMSF) Family

Governing State Law

U.S. Tax ID/Social Security Number

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Trust ABN

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There are no other trustees of the Trust other than the undersigned.

Please tick **ONE** of the following:

The Trust Agreement explicitly authorises each of the following Trustees to act individually without the approval of the other Trustees. **Australian Investment Exchange Limited** has the authority to accept orders and other instructions relative to the Trust account from any one of these Trustees, acting alone, and they may execute any documents on behalf of the Trust which **Australian Investment Exchange Limited** may require.

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The Trust Agreement **DOES NOT** authorise the Trustees to act individually on behalf of the Trust account.

Trustee 1 name

Trustee 1 Residential Address

(must be an Australian address not a PO Box)

State

Postcode

Country

Trustee 2 name

Trustee 2 Residential Address

(must be an Australian address not a PO Box)

State

Postcode

Country

Trustee 3 name

Trustee 3 Residential Address

(must be an Australian address not a PO Box)

State

Postcode

Country

Trustee 4 name

Trustee 4 Residential Address

(must be an Australian address not a PO Box)

State

Postcode

Country

Successor Trustee(s) name(s)

Trustee Certification Form

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Please read and sign below.

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Trustee name

Signature

Date

Trustee name 2

Signature

Date

Trustee name 3

Signature

Date

Trustee name 4

Signature

Date